

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

FORM A

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For use by Members, officers, and employees

**HAND
DELIVERED**

LEGISLATIVE RESOURCE CENTER

Judy Chu

202-225-5464

(Full Name)

(Daytime Telephone)

Filer Status

☒ Member of the U.S. House of Representatives

State: CA District: 32

☐ Officer Or Employee

Employing Office:

Report Type

☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule I.		If yes, complete and attach Schedule VI.	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule II.		If yes, complete and attach Schedule VII.	
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
If yes, complete and attach Schedule III.		If yes, complete and attach Schedule VIII.	
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
If yes, complete and attach Schedule IV.		If yes, complete and attach Schedule IX.	
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	
If yes, complete and attach Schedule V.			

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts— Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Exemptions— Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Office of the Clerk
U.S. House of Representatives

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A \$200 penalty shall be assessed against anyone who files more than 30 days late.

SCHEDULE I - EARNED INCOME

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
State of California State Assembly	Spouse Salary	N/A
Law Office of Eng & Nishimura	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Year-End Value of Asset	Type of Income	Amount of Income	Transaction
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in an income-producing asset.</p>		<p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
Aviva Life Insurance Fixed Annuity		\$15,001 - \$50,000	N/A	NONE	
California Public Employees Retirement System		\$1,001 - \$15,000	None	NONE	
California State Teachers Retirement System		\$100,001 - \$250,000	None	NONE	
SP Capital One		\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000	
SP Citibank IRA -- Day to Day Savings Acct		\$15,001 - \$50,000	Tax-Deferred	NONE	
Citibank Savings Acct		\$15,001 - \$50,000	INTEREST	\$1 - \$200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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SP	City National Bank	\$50,001 - \$100,000	INTEREST	\$2,501 - \$5,000	
SP	First Republic Bank	\$100,001 - \$250,000	INTEREST	\$1,001 - \$2,500	
	Judy M. Chu Trust- "excepted trust"- Chu Lim Investments LLC- believed to be rental income	Unknown	Excepted/Blind Trust	\$15,001 - \$50,000	

	Lincoln Financial Group 403B -- Delaware VIP Diversified Income	\$1,001 - \$15,000	Tax-Deferred	NONE	
	Lincoln Financial Group 403B -- Delaware VIP REIT	\$1,001 - \$15,000	Tax-Deferred	NONE	
	Lincoln Financial Group Annuity Amer Legacy US -- Fixed	\$1,001 - \$15,000	N/A	NONE	
	Lincoln Financial Group Annuity Amer Legacy US -- Variable Large Blend	\$15,001 - \$50,000	DIVIDENDS	NONE	

	LSW-Life Insurance Co of the Southwest Fixed Annuity	\$1,001 - \$15,000	N/A	NONE	
SP	Merrill Lynch Money Market Acct	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000	
	Nationwide Retirement Solution 401K/457-Intl Stocks	\$1,001 - \$15,000	Tax-Deferred	NONE	
	Nationwide Retirement Solution 401K/457-Large Cap Stock	\$50,001 - \$100,000	Tax-Deferred	NONE	
	Nationwide Retirement Solution 401K/457-Midcap Stocks	\$15,001 - \$50,000	Tax-Deferred	NONE	
	Nationwide Retirement Solution 401K/457-Short Term Investments	\$50,001 - \$100,000	Tax-Deferred	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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	Nationwide Retirement Solution 401K/457-Small Cap Stocks	\$15,001 - \$50,000	Tax-Deferred	NONE	
SP	New Vision Federal Credit Union	\$100,001 - \$250,000	INTEREST	\$5,001 - \$15,000	
SP	United Pacific Bank	\$100,001 - \$250,000	INTEREST	\$2,501 - \$5,000	
	Vanguard CA Intermediate Term Bond Tax-Exempt Mutual Fund	\$100,001 - \$250,000	DIVIDENDS	\$15,001 - \$50,000	P
	Vanguard Windsor II Mutual Fund	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	PS(part)
	Zurich Advantage III (formerly Kemper Advantage) 403B -- Balanced	\$1,001 - \$15,000	Tax-Deferred	NONE	
	Zurich Advantage III (formerly Kemper Advantage) 403B -- Large Cap U.S. Stock	\$1,001 - \$15,000	Tax-Deferred	NONE	
	Zurich Advantage III (formerly Kemper Advantage) 403B -- Misc. Fixed	\$1,001 - \$15,000	Tax-Deferred	NONE	

SCHEDULE IV - TRANSACTIONS

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Nationwide Retirement Solution 401K/457-Short Term Investments	P	N/A	Monthly	\$1,001 - \$15,000
	Vanguard CA Intermediate Term Bond Tax- Exempt Mutual Fund	P	N/A	Monthly	\$15,001 - \$50,000
	Vanguard Windsor II Mutual Fund	P	N/A	Monthly	\$1,001 - \$15,000
	Vanguard Windsor II Mutual Fund	S	No	09-29-11	\$15,001 - \$50,000

SCHEDULE V - LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
SP	Visa	December 2011	Credit Card	\$10,001 - \$15,000
	Bank of America	March 2002	Mortgage on personal property, Sacramento, CA	\$100,001 - \$250,000
	BB&T	May 2010	Mortgage on personal property, Washington, DC	\$100,001 - \$250,000
	Capitol Hill Tower Housing Cooperative	May 2010	Mortgage on personal property, Washington, DC	\$100,001 - \$250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

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Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure-- Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American Israel Education Foundation	Aug. 7-15	Newark, NJ-Israel (Jerusalem & Tel Aviv)-Newark, NJ	Y	Y	N	None
Asian & Pacific Islander American Health Forum	Sept. 16- 17	Burbank, CA-Oakland, CA- Burbank, CA	Y	Y	N	None
Japanese American Citizens League	Nov. 4-5	DC-New Orleans-Los Angeles	Y	Y	N	None
Chinese People's Institute of Foreign Affairs (MECEA)	Aug. 27- Sept. 4	Los Angeles-Beijing-Los Angeles	Y	Y	N	None

SCHEDULE IX - AGREEMENTS

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
May-01	East Los Angeles College	Leave of Absence granted from faculty position at East Los Angeles College after my election to state legislative office
May-01	California State Teachers Retirement System	Defined pension benefit plan for teaching position in the LA Community College District
May-01	California Public Employees Retirement System	Defined pension benefit plan for service on Monterey Park City Council